

Receipt
Jaw

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

App. No. : 10/550,721 Confirmation No.: 5507
Applicant : Michael Rolph, et al.
Int'l Filing Date : March 26, 2004
Art Unit : 1631
For : Therapeutic And Prophylactic Compositions And Uses Therefor
Docket No. : 007193-12
Customer No. : 36,234

CERTIFICATE OF MAILING

I hereby certify that this correspondence, along with any other document referred to as being attached or enclosed, is being deposited on the date shown below with the United States Postal Service with sufficient postage addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Nov. 27, 2006
Date

Marilyn J. Morris
Marilyn J. Morris

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

An error was found on the Filing Receipt mailed on November 15, 2006 as follows:

The correct country of residence for Inventor Charles Mackay is AUSTRALIA not AUSTRIA.

The correction has been made in red ink on the attached copy of the first page of the Filing Receipt. Please make this correction to the Filing Receipt and return a copy of the Corrected Filing Receipt to the undersigned.

It is believed that no payment of any additional fees is required for this submission; however, if this is incorrect, please charge any deficiency or credit any overpayment under the foregoing to Deposit Account No. 502679.

Respectfully submitted,

Jennifer M. McCallum, Ph.D., Esq.
Reg. No. 52,492

November 20, 2006
The McCallum Law Firm
685 Briggs Street
PO Box 929
Erie, CO 80516
Telephone: 303-828-0655
Facsimile: 303-828-2938



UNITED STATES PATENT AND TRADEMARK OFFICE

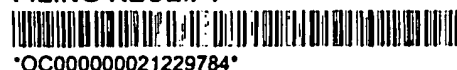
UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/550,721	07/17/2006	1631	1030	007193-12	6	17	3

CONFIRMATION NO. 5507

36234
 JENNIFER M MCCALLUM, PH D, ESQ
 THE MCCALLUM LAW FIRM, LLC
 685 BRIGGS STREET
 PO BOX 929
 ERIE, CO 80516

FILING RECEIPT



OC000000021229784

Date Mailed: 11/15/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Michael Rolph, New South Wales, AUSTRALIA;
 Charles Mackay, New South Wales, ~~AUSTRIA~~ **AUSTRALIA**

Power of Attorney: The patent practitioners associated with Customer Number 36234.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/AU04/00374 03/26/2004
 which claims benefit of 60/458,060 03/26/2003

Foreign Applications

If Required, Foreign Filing License Granted: 11/14/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/550,721**

Projected Publication Date: 02/22/2007

Non-Publication Request: No

Early Publication Request: No

Title

Therapeutic and prophylactic compositions and uses therefor

Preliminary Class

702

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).